STATE OF ILLINOIS SECRETARY OF STATE SECURITIES DEPARTMENT

IN THE MATTER OF: Christopher John McCarthy FILE NO. 1200502

ORDER OF DISMISSAL

Christopher John McCarthy (Crd#:5903887) TO THE RESPONDENT:

3805 W Roscoe St Apt 2W

Chicago, IL 60618

C/O Wells Fargo Advisors, LLC Attn: Director of Compliance One North Jefferson Ave St. Louis, MO 63103

TO THE HEARING

OFFICER:

George Berbas

180 N. LaSalle, Suite 3700

Chicago, IL 60601

WHEREAS, at the time of the Notice of Hearing, the Illinois Department of Revenue's ("Department of Revenue") records indicated an outstanding tax assessment of a tax administered by the Department of Revenue:

WHEREAS, the Illinois Department of Revenue has released such tax assessment; and

WHEREAS, the Secretary of State, by and through his duly designated representative, has determined that the Notice of Hearing entered on February 7, 2013 may be dismissed against the Respondent.

IT IS HEREBY ORDERED: The Notice of Hearing entered on February 7, 2013 against the Respondent in this

matter is dismissed without prejudice.

Dated: This 12th day of March 2013.

Secretary of State

Maria A. Pavone Enforcement Attorney Illinois Securities Department Office of Secretary of State 69 West Washington St.- Suite 1220 Chicago, IL 60602 Telephone: 312 793.3022